

## EFFICIENT PACKET DESEGMENTATION ON A NETWORK ADAPTER

### BACKGROUND OF THE INVENTION

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#### 1. Technical Field:

[0001] The present invention relates in general to improved networking and in particular to a method for efficiently desegmenting packets on a network adapter. Still more particularly, the present invention relates to buffering multiple packets from the same network connection at a network adapter before the multiple packets are sent as a single desegmented group to the network stack.

#### 15 2. Description of the Related Art:

[0002] The development of computerized information resources, such as interconnection of computer networks, allows users of data processing systems to link with servers within a network to access vast amounts of electronic information. Multiple types of computer networks have been developed that provide different types of security and access and operate at different speeds. For example, the internet, also referred to as an "internetwork", is a

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set of computer networks, possibly dissimilar, joined together by means of gateways that handle data transfer and the conversion of messages from the sending network to the protocols used by the receiving network. When capitalized, the term “Internet” refers to the collection of networks and gateways that use the TCP/IP suite of protocols.

5           [0003] Server systems connected to the Internet provide data and processing resources to client systems connected to the Internet. Server systems often receive requests from multiple client systems at the same time. Further, server systems often receive high large volumes of data each millisecond. There is a need to efficiently manage the processing of data received at server systems.

10           [0004] Server systems are typically equipped with a network adapter that provides a hardware connection between an interface to the bus system of a server system and an interface to the network connection enabling access to the Internet. A busy network adapter, such as a one gigabit Ethernet adapter, can handle packets of data arriving at a rate such as 50,000 packets per millisecond. As part of the TCP/IP protocol, data is typically broken down into segments for  
15 transmission across the Internet. A typical network adapter receives each data packet segment and immediately passes it via the bus system to network software, often termed the TCP/IP or network stack. The TCP/IP stack controls the processing of the data packets. Even though a stream of data packet segments may arrive from the same connection and could be processed together, network adapters are limited in that they pass each data packet segment individually to  
20 the TCP/IP stack. Immediately handing over individual data packets, one at a time, to the TCP/IP stack is inefficient. Further, the inefficiency multiplies when each individually received

data packet segment requires a separate direct memory access for storage. Moreover, the protocol stack maintains a protocol control block (PCB) that maintains the state of each connection to the server. For each data packet segment received by the network stack, currently the PCB table is searched, reducing the efficiency of the system.

- 5           **[0005]** Therefore, it would be advantageous to provide a method, system, and program for improving the efficiency of busy servers by desegmenting data packets arriving at a network adapter from the same connection, so that a single desegmented group of data packets can be sent to the network stack.

## SUMMARY OF THE INVENTION

[0006] In view of the foregoing, it is therefore an object of the present invention to provide improved networking.

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[0007] It is another object of the present invention to provide a method, system and program for efficiently desegmenting packets on a network adapter.

[0008] It is yet another object of the present invention to provide a method, system and  
10 program for buffering multiple packets from the same TCP connection at a network adapter before the multiple packets are sent as a single desegmented group to the network stack.

[0009] According to one aspect of the present invention, multiple data packet segments received at a network adapter from a single connection are buffered at the network adapter. The  
15 single connection is identified by address and port identifiers extracted from the header of each data packet segment. Responsive to detecting a buffering release condition, the data packet segments are released from the network adapter as a desegmented group to a network stack, such that data packets from the same connection are sent to the network stack together. In particular, the single connection is a TCP connection identified by a four-tuple of source and destination  
20 addresses and ports extracted from each TCP header of each of said plurality of data packet segments.

[0010] According to another aspect of the present invention, responsive to receiving a new data packet segment at the network adapter, the address and port identifiers for a connection across which the new data packet segment was sent are extracted. Then, responsive to the  
5 addresses and ports for the connection matching buffered addresses and ports for the single connection, adding said new data packet segment to the buffer of data packets segments received for the single connection within the network adapter. Separate queues may be maintained in the network adapter, where the data packets buffered in each individual queue are received from separate connections.

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[0011] There are multiple types of buffering release conditions that may be detected. First, a buffering release condition may be detected when a new data packet segment received at the network adapter is from a different connection than the single connection. Second, a buffering release condition may be detected when the time a first received data packet segment  
15 from among said plurality of data packet segments remains within the buffer exceeds a time threshold. Third, a buffering release condition may be detected when a queue size limit in said network adapter for buffering data packet segments is reached. Fourth, a buffering release condition may be detected when an abnormal condition occurs. An abnormal condition may include at least one from among a checksum mismatch, a connection reset, an urgent pointer, and  
20 a missing packet being detected.

**[0012]** All objects, features, and advantages of the present invention will become apparent in the following detailed written description.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0013] The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself however, as well as a preferred mode of use, further  
5 objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

[0014] **Figure 1** is a block diagram depicting a computer system in which the present  
10 method, system, and program may be implemented;

[0015] **Figure 2** is a block diagram depicting a distributed network system for transferring data packets in accordance with the method, system, and program of the present invention;  
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[0016] **Figure 3** is a block diagram depicting a network adapter within a networking system in accordance with the method, system, and program of the present invention;

[0017] **Figure 4** is a block diagram depicting a network adapter for desegmenting  
20 packets in accordance with the method, system, and program of the present invention; and

[0018] **Figure 5** is a high level logic flowchart depicting a process and program for for desegmenting data packets at a network adapter.



## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0019] Referring now to the drawings and in particular to **Figure 1**, there is depicted one embodiment of a computer system in which the present method, system, and program may be implemented. The present invention may be executed in a variety of systems, including a variety of computing systems and electronic devices under a number of different operating systems. In general, the present invention is executed in a computer system that performs computing tasks such as manipulating data in storage that is accessible to the computer system. In addition, the computer system includes at least one output device and at least one input device.

[0020] Computer system **10** includes a bus **22** or other communication device for communicating information within computer system **10**, and at least one processing device such as processor **12**, coupled to bus **22** for processing information. Bus **22** preferably includes low-latency and higher latency paths that are connected by bridges and adapters and controlled within computer system **10** by multiple bus controllers. When implemented as a server system, computer system **10** typically includes multiple processors designed to improve network servicing power.

[0021] Processor **12** may be a general-purpose processor such as IBM's PowerPC™ processor that, during normal operation, processes data under the control of operating system and application software accessible from a dynamic storage device such as random access memory (RAM) **14** and a static storage device such as Read Only Memory (ROM) **16**. The operating system preferably provides a graphical user interface (GUI) to the user. In a preferred

embodiment, application software contains machine executable instructions that when executed on processor **12** carry out the operations depicted in the flowchart of **Figure 5** and others described herein. Alternatively, the steps of the present invention might be performed by specific hardware components that contain hardwired logic for performing the steps, or by any  
5 combination of programmed computer components and custom hardware components.

[0022] The present invention may be provided as a computer program product, included on a machine-readable medium having stored thereon the machine executable instructions used to program computer system **10** to perform a process according to the present invention. The term “machine-readable medium” as used herein includes any medium that participates in  
10 providing instructions to processor **12** or other components of computer system **10** for execution.

Such a medium may take many forms including, but not limited to, non-volatile media, volatile media, and transmission media. Common forms of non-volatile media include, for example, a floppy disk, a flexible disk, a hard disk, magnetic tape or any other magnetic medium, a compact disc ROM (CD-ROM) or any other optical medium, punch cards or any other physical medium  
15 with patterns of holes, a programmable ROM (PROM), an erasable PROM (EPROM), electrically EPROM (EEPROM), a flash memory, any other memory chip or cartridge, or any other medium from which computer system **10** can read and which is suitable for storing instructions. In the present embodiment, an example of a non-volatile medium is mass storage device **18** which as depicted is an internal component of computer system **10**, but will be  
20 understood to also be provided by an external device. Volatile media include dynamic memory such as RAM **14**. Transmission media include coaxial cables, copper wire or fiber optics,

including the wires that comprise bus **22**. Transmission media can also take the form of acoustic or light waves, such as those generated during radio frequency or infrared data communications.

**[0023]** Moreover, the present invention may be downloaded as a computer program product, wherein the program instructions may be transferred from a remote computer such as a server **40** to requesting computer system **10** by way of data signals embodied in a carrier wave or other propagation medium via a network link **34** (e.g., a modem or network connection) to a communications interface **32** coupled to bus **22**. Communications interface **32** provides a two-way data communications coupling to network link **34** that may be connected, for example, to a local area network (LAN), wide area network (WAN), or as depicted herein, directly to an Internet Service Provider (ISP) **37**. In particular, network link **34** may provide wired and/or wireless network communications to one or more networks.

**[0024]** ISP **37** in turn provides data communication services through network **102**. Network **102** may refer to the worldwide collection of networks and gateways that use a particular protocol, such as Transmission Control Protocol (TCP) and Internet Protocol (IP), to communicate with one another. ISP **37** and network **102** both use electrical, electromagnetic, or optical signals that carry digital data streams. The signals through the various networks and the signals on network link **34** and through communication interface **32**, which carry the digital data to and from computer system **10**, are exemplary forms of carrier waves transporting the information.

**[0025]** When implemented as a server system, computer system **10** typically includes multiple communication interfaces accessible via multiple peripheral component interconnect

(PCI) bus bridges connected to an input/output controller. In this manner, computer system 10 allows connections to multiple network computers.

[0026] Advantageously, communication interface 32 includes a network adapter 300, such as an Ethernet adapter, able to manage an interface between the host computer system 10 and network 102. Typically, a network adapter includes a bus interface that communicates with the I/O bus within bus 22 and a link interface that implements the correct protocol over network 102. The network adapter is preferably enabled to handle TCP and in the present invention enabled to handle the desegmentation of data segments received at computer system 10.

[0027] Further, multiple peripheral components may be added to computer system 10, connected to multiple controllers, adapters, and expansion slots coupled to one of the multiple levels of bus 22. For example, an audio input/output 28 is connectively enabled on bus 22 for controlling audio input through a microphone or other sound or lip motion capturing device and for controlling audio output through a speaker or other audio projection device. A display 24 is also connectively enabled on bus 22 for providing visual, tactile or other graphical representation formats. A keyboard 26 and cursor control device 30, such as a mouse, trackball, or cursor direction keys, are connectively enabled on bus 22 as interfaces for user inputs to computer system 10. In alternate embodiments of the present invention, additional input and output peripheral components may be added.

[0028] Those of ordinary skill in the art will appreciate that the hardware depicted in Figure 1 may vary. Furthermore, those of ordinary skill in the art will appreciate that the depicted example is not meant to imply architectural limitations with respect to the present

invention.

[0029] With reference now to **Figure 2**, a block diagram depicts a distributed network system for transferring data packets in accordance with the method, system, and program of the present invention. Distributed data processing system **100** is a network of computers in which the present invention may be implemented. Distributed data processing system **100** contains a network **102**, which is the medium used to provide communications links between various devices and computers connected together within distributed data processing system **100**.

Network **102** may include permanent connections such as wire or fiber optics cables, temporary connections made through telephone connections and wireless transmission connections.

[0030] In the depicted example, servers **104** and **105** are connected to network **102**. In addition, clients **108** and **110** are connected to network **102** and provide a user interface through input/output (I/O) devices **109** and **111**. Clients **108** and **110** may be, for example, personal computers or network computers. For purposes of this application, a network computer is any computer coupled to a network, which receives a program or other application from another computer coupled to the network.

[0031] The client/server environment of distributed data processing system **100** is implemented within many network architectures. For example, the architecture of the World Wide Web (the Web) follows a traditional client/server model environment. The terms “client” and “server” are used to refer to a computer’s general role as a requester of data (the client) or provider of data (the server). In the Web environment, web browsers such as Netscape

Navigator™ typically reside on client systems **108** and **110** and render Web documents (pages) served by a web server, such as servers **104** and **105**. Additionally, each of client systems **108** and **110** and servers **104** and **105** may function as both a “client” and a “server” and may be implemented utilizing a computer system such as computer system **10** of **Figure 1**. Further, while the present invention is described with emphasis upon servers **104** and **105** enabling downloads or communications, the present invention may also be performed by client systems **108** and **110** engaged in peer-to-peer network communications and downloading via network **102**.

**[0032]** The Web may refer to the total set of interlinked hypertext documents residing on servers all around the world. Network **102**, such as the Internet, provides an infrastructure for transmitting these hypertext documents between client systems **108** and **110** and servers **104** and **105**. Documents (pages) on the Web may be written in multiple languages, such as Hypertext Markup Language (HTML) or Extensible Markup Language (XML), and identified by Uniform Resource Locators (URLs) that specify the particular web page server from among servers, such as server **104** and pathname by which a file can be accessed, and then transmitted from the particular web page server to an end user utilizing a protocol such as Hypertext Transfer Protocol (HTTP) or file-transfer protocol (FTP). Web pages may further include text, graphic images, movie files, and sounds, as well as Java applets and other small embedded software programs that execute when the user activates them by clicking on a link. In particular, multiple web pages may be linked together to form a web site. The web site is typically accessed through an organizational front web page that provides a directory to searching the rest of the web pages

connected to the web site. While network **102** is described with reference to the Internet, network **102** may also operate within an intranet or other available networks.

[0033] A common protocol, such as TCP/IP, runs on each of servers **104** and **105** and clients **108** and **110** to enable communication between these devices across network **102**. In particular, the TCP/IP stack is typically used for Internet based communications to breakup data messages into packets to be sent via IP and then reassemble and verify the complete messages from packets received by IP. Each packet consists of an IP header and a TCP header including addresses, ports, data length, and other information. When the TCP/IP protocol is used, the connection between devices is a TCP connection initiated when the client requests to connect with a server. The two sides engage in an exchange of messages to establish the connection. Then the TCP running on the server begins to buffer data into packet size segments to send across the TCP connection. In the present invention, the network adapter desegments the packets before sending the desegmented group of packets to the network stack. The TCP/IP stack receives each packet as is known in the art, but instead of each packet individually traversing the path from the network adapter to the TCP/IP stack, a group of packets from the same connection is sent in one pass. It will be understood that while the present invention is described with reference to TCP/IP protocol, other protocols may be implemented. For example, in lieu of TCP, other transport protocols which involve considerable latency for data transfer between adapter and network stack, such as Stream Control Transmission Protocol (SCTP), may be implemented.

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[0034] Referring now to **Figure 3**, there is depicted a block diagram of a network

adapter within a networking system in accordance with the method, system, and program of the present invention. As illustrated, data runs between network adapter **300** and network **102**. In the example, network adapter **300** is hardware that passes data packet segments to and from a software based network protocol stack **306** within the host computer system. A typical network  
5 protocol stack includes multiple layers for handling the protocols used for passing segments across network **102**. For example, network stack **306** includes an Internet Protocol (IP) layer **302** and a Transport Control Protocol (TCP) layer **304**. Although not depicted, it will be understood that network stack **306** may include additional protocol layers. Additionally, although not depicted, it will be understood that additional hardware and software components, such as device  
10 drivers, may be implemented by the host computer system to implement network communications.

[0035] With reference now to **Figure 4**, there is illustrated a block diagram of a network adapter for desegmenting packets in accordance with the method, system, and program  
15 of the present invention. As depicted, network adapter **300** includes a TCP desegmentation device **402**, a TCP checksum device **404** and an adapter buffer **406**. Data is received at network adapter in data packet segments, such as segment **414**. TCP checksum device **404** of network adapter **300** preferably extracts the TCP 4-tuple from the TCP header of each segment. As previously described, a TCP connection is established between two network devices, such as a  
20 server and a client. Network adapter **300** facilitates the TCP connection by one of these two network devices. Each of the two network devices has an IP address and a port number. The



TCP 4-tuple identifies the TCP connection by the IP address and port number for each of the two network devices. In particular, the connection identifiers for the TCP 4-tuple include the following components: source IP address (src-ip), source port number (src-port), destination IP address (dst-ip), and destination port number (dst-port). Typically, each of the addresses and ports are expressed as a numeral.

[0036] TCP checksum device **404** calculates a checksum for each data packet segment and compares the currently calculated sum with the checksum included in the TCP header of each segment. If the checksum is not valid, then a checksum failure will be returned for the segment.

[0037] TCP desegmentation device **402** uses the TCP 4-tuple to decide which segments to buffer in adapter buffer **406**. TCP desegmentation device **402** compares the IP addresses and port numbers for each data packet segment with the IP addresses and port numbers of the data packets stored in adapter buffer **406** at source identifiers **408** and destination identifiers **410**.

[0038] Adapter buffer **406** preferably stores the source identifiers **408**, destination identifiers **410** and data packets in a data queue **412** for data packet segments received from the same TCP connection. Then, if the source identifiers and destination identifiers of a newly received data packet segment match source identifiers **408** and destination identifiers **410**, the newly received data packet is added to data queue **412**. By adding the newly received matching data packet to the queue, multiple data packet segments received from the same TCP connection are desegmented by being placed into a group to be transferred together for processing. In particular, in the example depicted for source identifiers **408** and destination identifiers **410**, the

IP address is specified first, separated from the port address by a “,”.

[0039] Once a particular condition is reached, the TCP desegmentation device will send the data packets stored in adapter buffer **406** to the host stack in a single traversal with a flag indicating the data packets can be processed as a group. A first condition causing the group to be sent up to the host stack is when the newly received data packet segment does not match the TCP connection of the data packets segments in adapter buffer **406**. A second condition causing the group to be sent up to the host stack is when the adapter buffer is full. A third condition causing the group to be sent up to the host stack is when the time the first packet for the TCP connection has been held in adapter buffer **406** exceeds a threshold time. In particular, a timer is preferably started by TCP desegmentation device **402** when the first data packet for a new TCP connection is placed in adapter buffer **406**. Advantageously, the time threshold may be adjusted through software in order to achieve the most efficient flow of data through network adapter **300**. Additionally, a fourth condition causing the group to be sent up to the host stack is when an abnormal condition occurs. For example, TCP desegmentation device **402** may detect an abnormal condition if there is a checksum mismatch, the connection is reset, an urgent pointer is received, or a missing packet is detected.

[0040] By adapter **300** sending data packet from the same TCP connection together as a desegmented group to be processed together, increased efficiency is achieved in the processing operations of the host computer system. Even if only five packets are queued in adapter buffer **406** and sent together for processing, the incoming packet processing code is executed for the network stack 80% less often than if data packet segments are processed individually. Efficiency

is further gained where, for example, a single direct memory access (DMA) is performed for the grouped packets to a contiguous memory block, instead of performing a DMA for each individual data packet. In another example, receipt of the group of data packets in the translation lookaside buffer (TLB) enhances the efficiency of the TLB in holding the data most likely to be next requested because a group of related data packets is received in the TLB. In yet another example, the number of protocol control block (PCB) searches is reduced at the protocol level since a PCB search is only required to be performed once for the desegmented group of data packets, rather than for each data packet.

10           **[0041]** Referring now to **Figure 5**, there is depicted a high level logic flowchart of a process and program for desegmenting data packets at a network adapter. As illustrated, the process starts at block **500** and thereafter proceeds to block **502**. Block **502** depicts a determination whether an adapter receives a new data packet from the network. If a new data packet is not received, the process iterates at block **502**. When a new data packet is received, the process passes to block **504**. Block **504** depicts extracting the 4-tuple from the packet header, and the process passes to block **506**.

15           **[0042]** Block **506** depicts a determination whether the packet is part of the current TCP connection. In particular, there is a determination whether the packet matches the other data stored in the adapter buffer. If the packet is not part of the current TCP connection, then the process passes to block **522**, described below. If the packet is part of the current TCP connection, then the process passes to block **508**.

[0043] Block 508 depicts a determination whether the buffer capacity is reached. If the buffer capacity is reached, then the process passes to block 522. If the buffer capacity is not yet reached, then the process passes to block 510.

5 [0044] Block 510 depicts a determination whether the time elapsed from the first packet on the connection in the buffer adapter exceeds a threshold time. If the time elapsed exceeds a threshold time, then the process passes to block 522. If the time elapsed does not exceed a threshold time, then the process passes to block 512.

[0045] Block 512 depicts a determination whether there is the occurrence of an unusual condition. For example, receiving a reset, checksum failure or other signal indicating an  
10 irregularity may qualify as the occurrence of an unusual condition. If there is an occurrence of an unusual condition, then the process passes to block 522. If there is not an occurrence of an unusual condition, then the process passes to block 514.

[0046] Block 514 depicts holding the packet in the adapter buffer. Next, block 516 illustrates waiting for the next packet. Thereafter, block 518 depicts a determination whether a  
15 new packet has arrived. If a new packet arrives, then the process passes to block 504. If a new packet has not arrived, then the process passes to block 520. Block 520 depicts a determination whether the timer threshold is exceeded while there are packets in the adapter buffer. If the timer threshold is not exceeded, then the process returns to block 516. If the timer threshold is exceeded, then the process passes to block 522.

20 [0047] Block 522 depicts delivering all the buffered packets to the TCP/IP stack on the host with a contiguous packet flag set. Next, block 524 depicts holding the current packet in the

adapter buffer to wait for additional packets from the same connection as the current packet, and the process passes to block **502**.

**[0048]** While the invention has been particularly shown and described with reference to  
5 a preferred embodiment, it will be understood by those skilled in the art that various changes in form and detail may be made therein without departing from the spirit and scope of the invention.